

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tongtong Wang et al.

Application No. : 10/007,700

Filed: November 30, 2001

For : COMPOSITIONS AND METHODS FOR THE THERAPY AND

DIAGNOSIS OF LUNG CANCER

Art Unit : 1632

Docket No. : 210121.455C17

Date : March 28, 2002

Box Missing Parts Commissioner for Patents Washington, DC 20231

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

## Commissioner for Patents:

In response to the Notice to File Missing Parts dated January 28, 2002, please find enclosed the following documents:

X Fee
 X Oath/Declaration
 X Copy of Notice to File Missing Parts
 Sheets of Formal Drawings

Respectfully submitted,
Seed Intellectual Property Law Group PLLC

Jeffrey Hundley, Ph.D. Registration No. 42,676

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#### EXPRESS MAIL NO. EL755727211US PTO/SB/21 (08-00) 8 20 Please type a plus sign (+) inside this box -> [+] Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ct of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numbe Application Number 10/007.700 Filing Date November 30, 2001 FORM First Named Inventor **Tongtong Wang** Group Art Unit 1632 (To be used for all correspondence **Examiner Name** after initial filing) Attorney Docket No. 210121.455C17 ENCLOSURES (check all that apply) X Fee Transmittal Form **Assignment Papers** CD(s), Number (for an Application) X Fee Attached of CD(s) Drawing(s) Amendment/Response After Allowance Request for Corrected Filing After Final Communication to Group Receipt Affidavits/declaration(s) Appeal Communication to Licensing-related Papers Board of Appeals and Extension of Time Request Petition Interferences **Express Abandonment** Petition to Convert to a Appeal Communication to Request Provisional Application Group (Appeal Notice, Brief, Information Disclosure Reply Brief) Power of Attorney, Statement; Form PTO-1449 Revocation, Change of Proprietary Information Cited References Correspondence Address Status Letter Certified Copy of Priority Declaration Return Receipt Postcard Document(s) X Statement under 37 CFR X Response to Missing Parts Additional Enclosure(s) 3.73(b)under 37 C.F.R. 1.52 or 1.53 (please identify below): Terminal Disclaimer Copy of Notice to File Missing Response to Missing Small Entity Statement Parts/Incomplete Application Parts: Application Data Sheet Request for Refund Copy of Assignment Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Individual Name Jeffrey Hundley, Ph.D. 00500

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents,

Washington, D.C. 20231 on the date specified below.

Signature

Signature

Typed or printed name

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Date

PATENT TRADEMARK OFFICE

Date:

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 960.00

Approved for use through 10/31/2002. OMB 0631-0032						
Complete if Known						
Application Number	10/007,700					
Filing Date	November 30, 2001					
First Named Inventor	Tongtong Wang					
Examiner Name						
Group Art Unit	1632					
Attorney Docket No.	210121.455C17					

METHOD OF PAYMENT		FEE CALCULATION (continued)							
Payment Enclosed:		3. ADDITIONAL FEES Large Entity Small							
Check Credit card Money Order Other		Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Pald				
Deposit Account:		130	205	65	Surcharge - late filing fee or oath 130				
Deposit Account Number	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.				
Deposit	139	130	139	130	Non-English specification				
Account Name Seed Intellectual Property Law Group PLLC		2,520	147	2,520	For filing a request for ex parte reexamination				
The Commissioner is authorized to (check all that apply)  Charge fee(s) indicated below Credit any overpayments		920*	112	920°	Requesting publication of SIR prior to Examiner action				
Charge any additional fee(s) during the pendency of this application		1,840*	113	1,840*	Requesting publication of SIR after Examiner action				
Charge fee(s) indicated below, except for the filling fee	115	110	215	55	Extension for reply within first month				
Charge any deficiencies		400	216	200	Extension for reply within second month				
to the above-identified deposit account.	117	920	217	460	Extension for reply within third month				
Applicant claims small entity status. See 37 CFR 1.27.	118	1,440	218	720	Extension for reply within fourth month				
FEE CALCULATION	128	1,960	228	980	Extension for reply within fifth month				
BASIC FILING FEE	119	320	219	160	Notice of Appeal				
Large Entity Small Entity	120	320	220	160	Filing a brief in support of an appeal				
Fee Fee Fee Fee Description	121	280	221	140	Request for oral hearing				
Code (\$) Code (\$)   Fee Pald	138	1,510	138	1,510	Petition to institute a public use proceeding				
106 330 206 165 Design filing fee	140	110	240	55	Petition to revive - unavoidable				
107 510 207 255 Plant filing fee	141	1,280	241	640	Petition to revive – unintentional				
108 740 208 370 Reissue filing fee 114 160 214 80 Provisional filing fee	142	1,280	242	640	Utility issue fee (or reissue)				
	143	460	243	230	Design issue fee				
	144	620	244	310	Plant issue fee				
2. EXTRA CLAIM FEES  Extra Fee from Fee		130	122	130	Petitions to the Commissioner				
Claims below Paid	123	50	123	50	Petitions related to provisional applications				
Claims	126	180	126	180	Submission of Information Disclosure Stmt				
Claims = 90	581	40	581	40	Recording each patent assignment per property (times number of properties)				
Large Entity Small Entity Fee Fee Fee		740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))				
Code (\$) Code (\$) Fee Description  103 18 203 9 Claims in excess of 20	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))				
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid	ndependent claims in excess of 3 179 740 279 370 Request for Continued Examination				Request for Continued Examination (RCE)				
109 84 209 42 Reissue independent claims over onginal patent	169	900	169	900	Request for expedited examination of a design application				
10 18 210 9 "Reissue claims in excess of 20 and over original patent"  Other fee (specify)									
SUBTOTAL (2) (\$) 90	*Reduce	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130							
or number previously paid, if greater; For Reissues, see above					,,,,,,,				
SUBMITTED BY									

	SUBMITTED BY			
Name (Print/Type)	Jeffrey Hundley, Ph.D.		ation No.	42,676
Firm Name/ Address				
Signature	(mh	Date	March 28, 2002	

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EXPRESS MAIL NO. EL755727211US

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/007.700

11/30/2001

Tongtong Wang

210121.455C17

**CONFIRMATION NO. 3322** 

**FORMALITIES LETTER** 

\*OC000000007369666\*

00500 SEED INTELLECTUAL PROPERTY LAW GROUP PLLC 701 FIFTH AVE **SUITE 6300** SEATTLE, WA 98104-7092

Date Mailed: 01/28/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 5 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 960.

04/03/2002 ADSHAN1 00000029 10007700

740.00 OP 01 FC:101 02 FC:103 03 FC:105 <del>90::00-0</del>P 130.00 OP

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE